



07-19-01

HN Sector \$

PTO/SB/21 (12-97)  
Approval for use through 9/30/00. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

|   |                             |                               |            |
|---|-----------------------------|-------------------------------|------------|
| <b>TRANSMITTAL FORM</b><br><br>(to be used for all correspondence after initial filing) | <b>Application Number</b>   | 09/833,014                    |            |
|   | <b>Filing Date</b>          | April 10, 2001                |            |
|   | <b>First Named Inventor</b> | Guthrie                       |            |
|   | <b>Group Art Unit</b>       | 2164                          |            |
|   | <b>Examiner Name</b>        |                               |            |
| <b>Total Number of Pages in This Submission</b>   | 39                          | <b>Attorney Docket Number</b> | 6456/53570 |

**ENCLOSURES (check all that apply)**

|   |   |   |
|---|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form                                | <input checked="" type="checkbox"/> Assignment Papers (for an Application)                          | <input type="checkbox"/> After Allowance Communication to Group                             |
| <input checked="" type="checkbox"/> Fee Attached  | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> Appeal Communications to Board of Appeals and Interferences        |
| <input type="checkbox"/> Amendment/Response   | <input type="checkbox"/> Licensing-related Papers   | <input type="checkbox"/> Appeal Communications to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final  | <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition                | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Affidavits/declaration(s)                                      | <input type="checkbox"/> To Convert a Provisional Application                                       | <input type="checkbox"/> Status Letter  |
| <input type="checkbox"/> Extension of Time Request                                      | <input checked="" type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address | <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):        |
| <input type="checkbox"/> Express Abandonment Request                                    | <input type="checkbox"/> Terminal Disclaimer  | PTO Form 1449-IDS   |
| <input checked="" type="checkbox"/> Information Disclosure Statement                    | <input type="checkbox"/> Small Entity Statement   | 2 References cited in IDS   |
| <input type="checkbox"/> Certified Copy of Priority Document(s)                         | <input type="checkbox"/> Request of Refund  | Form PTO-2038   |
| <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application    | Remarks   | Return Receipt Postcard   |
| <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |   | Inventors' Declaration  |

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

|                                |  |
|--------------------------------|--|
| <b>Firm or Individual name</b> | Virginia H. Meyer, Esq., Meyer Intellectual Property Law |
| <b>Signature</b>               | Virginia H Meyer   |
| <b>Date</b>                    | July 18, 2001  |

**CERTIFICATE OF MAILING**

|  |  |             |               |
|--|--|-------------|---------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Label No. ET452070918US, in an envelope addressed to:<br>Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231 on this date: |  |             |               |
|  |  |             | July 18, 2001 |
| <b>Typed or printed name</b>   | Virginia H. Meyer, Esq., Reg. No. 30,089 |             |               |
| <b>Signature</b>   | Virginia H Meyer                         | <b>Date</b> | July 18, 2001 |



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/833,014         | 04/10/2001          | Paul D. Guthrie       | 6456/53570             |

CONFIRMATION NO. 8368

## FORMALITIES LETTER



\*OC00000006154668\*

Virginia H. Meyer, Esq.  
MEYER INTELLECTUAL PROPERTY LAW  
475 Gate Five Road, Suite 275  
Sausalito, CA 94965

Date Mailed: 06/06/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/23/2001 BNGUYEN1 00000019 09833014

|           |           |                                   |
|-----------|-----------|-----------------------------------|
| 01 FC:101 | 710.00 OP | <b>FILED UNDER 37 CFR 1.53(b)</b> |
| 02 FC:102 | 720.00 OP |                                   |
| 03 FC:103 | 990.00 OP |                                   |
| 04 FC:104 | 270.00 OP |                                   |
| 05 FC:105 | 130.00 OP |                                   |

**Filing Date Granted**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1980.
  - \$990 for 55 total claims over 20.
  - \$720 for 9 independent claims over 3 .
  - \$270 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2820.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

H.T

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

[illegible]